



Understanding People

Chief Compliance Officer チーフコンプライアンスオフィサー

¥27M base salary, Hybrid work

募集職種

人材紹介会社

スペシャライズドグループ株式会社

求人ID

1592970

業種

証券

雇用形態

正社員

勤務地

東京都 23区

給与

経験考慮の上、応相談

更新日

2026年05月20日 19:40

応募必要条件

職務経験

6年以上

キャリアレベル

エグゼクティブ・経営幹部レベル

英語レベル

ビジネス会話レベル

日本語レベル

ビジネス会話レベル

最終学歴

大学卒：学士号

現在のビザ

日本での就労許可が必要です

募集要項

Chief Compliance Officer チーフコンプライアンスオフィサー - Securities/Investment (27M Yen Base, Hybrid)

Our client, a leading fintech company, is seeking a Chief Compliance Officer for their newly established securities and investment business in Tokyo. This high-impact leadership role offers the opportunity to shape the launch and development of capital markets products in Japan, working closely with global teams and Japanese regulators. The position offers a competitive salary of up to 27M Yen base with additional bonuses, and a hybrid work setup.

Key Responsibilities:

- Lead regulatory engagement with KLF and FSA
- Oversee Type 1 FIBO license acquisition and maintenance
- Develop and enhance compliance frameworks and governance structures
- Conduct compliance monitoring and testing activities

- Prepare management reports on regulatory and compliance risks
- Act as Chief Internal Control Officer for Japan
- Educate stakeholders on Japanese regulatory requirements
- Collaborate with global teams on compliance integration

Qualifications:

- 10+ years compliance experience in securities firm or Type 1 FIBO
 - Expertise in Japanese financial regulations, FIEA, FSA, JSDA
 - Experience with KLFB and/or FSA
 - Business-level to fluent Japanese and English communication skills
 - Compliance Officer qualification (内部管理責任者資格)
 - Securities Representative License (証券外務員資格)
 - Experience with fintech or digital financial services is preferred but not mandatory
 - Experience with investments or capital markets products is preferred but not mandatory
 - Experience designing compliance processes across multiple jurisdictions is preferred but not mandatory
-

会社説明