



Understanding People

Senior Compliance Officer シニアコンプライアンスオフィサー

¥20M base salary, Global investment firm

募集職種

人材紹介会社

スペシャライズドグループ株式会社

求人ID

1592969

業種

アセットマネジメント

雇用形態

正社員

勤務地

東京都 23区

給与

経験考慮の上、応相談

更新日

2026年05月20日 19:33

応募必要条件

職務経験

3年以上

キャリアレベル

中途経験者レベル

英語レベル

ビジネス会話レベル

日本語レベル

ビジネス会話レベル

最終学歴

大学卒：学士号

現在のビザ

日本での就労許可が必要です

募集要項

Senior Compliance Officer シニアコンプライアンスオフィサー - Asset Management (20M Base, Flextime, Remote)

Our client, a global investment firm managing over \$30 billion in assets, is seeking a Senior Compliance Officer in Tokyo, Japan, with a path to becoming the Head of Compliance. This role offers the opportunity to be part of a global compliance team, contributing to significant projects and gaining leadership experience. Benefits include a base salary of up to 20M JPY, performance bonuses, RSUs, flexible work arrangements, and the potential for career advancement to Chief Compliance Officer.

Key Responsibilities:

- Interpret Japanese financial regulations for compliance
- Communicate with Japanese authorities and self-regulatory bodies
- Review and update compliance manuals and policies
- Monitor portfolio compliance for Japanese stocks

- Conduct compliance training for staff
- Advise on compliance for Japanese investment products
- File substantial shareholding reports for Japanese stocks
- Collaborate with global compliance and legal teams

Qualifications:

- Native Japanese language proficiency
 - 3+ years in Compliance, Legal, Risk Management, or Internal Audit
 - Experience in asset management compliance is preferred but not mandatory
 - Bachelor's degree in Law, Finance, or Accounting is preferred but not mandatory
 - Fluent in English
 - Familiarity with Japanese financial regulations (e.g., KLFB, FSA)
 - Experience with compliance training and policy review
 - Strong analytical skills for monitoring portfolio compliance
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会社説明