【英語を活かす】法務・コンプライアンスマネージャー/ Legal and Compliance Manager

金融サービス会社にて、法務・コンプライアンスマネージャーの求人がございます。

募集職種

人材紹介会社
ロバート・ウォルターズ (Robert Walters)

採用企業名
金融サービス会社

求人ID
1572087

業種
投資銀行

雇用形態
正社員

勤務地
東京都 23区

給与
1300万円 ~ 1500万円

勤務時間
お問い合わせください

休日・休暇
完全週休2日制, 土日祝日休み, 有給休暇

更新日
2026年11月13日 10:00

応募必要条件

キャリアレベル
中途経験者レベル

英語レベル
ビジネス会話レベル

日本語レベル
ネイティブレベル

最終学歴
大学卒： 学士号

現在のビザ
日本での就労許可が必要です

募集要項

A global financial services company is looking for a Legal and Compliance Manager/Associate. The selected candidate will oversee regulatory compliance, internal controls, and operational compliance activities while supporting audits, training, and cross-departmental guidance.

A financial services company offering a range of investment and trading solutions to clients. With a focus on providing innovative and user-friendly platforms, the company empowers investors to make informed decisions in the dynamic world of finance.

Keywords:

法律,法務,コンプライアンス, 法律, 求人, 外資系

Job Ref: 9R6TRN

Responsibilities:

- Serve as primary liaison for regulatory authorities and coordinate responses to inquiries
- Support audits, inspections, and customer complaint handling processes
- Establish, review, and monitor internal compliance policies and procedures
- Conduct KYC, AML/CFT checks, and transaction monitoring for regulatory compliance
- Review and manage contracts, marketing materials, and departmental guidance
- Design and deliver internal compliance training and awareness programs

Requirements:

- Bachelor's degree or above
- More than 2 years of experience in compliance, legal, and financial institutions
- Proficient in MS Office Suite
- Native level Japanese; business level English

Preferred requirements:

- Experience in retail securities business operations or compliance
- Prior experience with regulatory reporting and examination processes
- Understanding of rules and regulations governing the retail securities business in Japan

会社説明

We've been a driving force in the Japanese bilingual recruitment market, providing high quality candidates for our clients and access to the best jobs for over 20 years. We operate a team-based profit share system which, we believe, sets us apart from the majority of competitors by enabling us to always put the interests of our clients and candidates first. That means we can find the best fit for employer and job seeker, and we never push people into unsuitable roles.