



## 【Global Financial Trading】 Compliance Manager

WFH, Flex time, Bilingual, International

### 募集職種

#### 採用企業名

株式会社AP Capital

#### 求人ID

1565729

#### 業種

その他（金融）

#### 雇用形態

正社員

#### 勤務地

東京都 23区

#### 給与

経験考慮の上、応相談

#### 更新日

2026年05月21日 03:00

### 応募必要条件

#### 職務経験

3年以上

#### キャリアレベル

中途経験者レベル

#### 英語レベル

ビジネス会話レベル

#### 日本語レベル

ネイティブ

#### 最終学歴

大学卒：学士号

#### 現在のビザ

日本での就労許可が必要です

### 募集要項

#### Key Responsibilities:

- Develop and maintain compliance policies and procedures for the company's financial trading operations.
- Monitor and assess the company's compliance with applicable regulations and industry standards and report to senior management.
- Oversee regulatory license applications, filings, inspections, and audits, ensuring timely and accurate submissions.
- Stay current with changes in regulatory requirements and industry best practices and advise senior management on compliance-related risks and opportunities.
- Conduct compliance training and awareness programs for employees and partners.
- Build and maintain effective relationships with Japanese regulators, exchanges, banks, and industry associations.
- Investigate, assess, and address compliance-related incidents, issues, and inquiries promptly and effectively.

### スキル・資格

Qualifications:

- Bachelor's degree in business, law, finance or a related field.
- Minimum of 5 years of relevant experience in compliance management, with a focus on either from Japan commercial banking industry or securities industry.
- Strong knowledge of Japanese Securities / Asset Management/ Foreign Exchange Laws & Digital Assets regulations
- Experience with regulatory license applications, filings, inspections and audits of Japanese FSA, etc. is a plus
- Ability to work independently, with a startup / entrepreneurial mindset who can build from scratch.
- Native-level in Japanese, business-level in English

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会社説明