



## [Compliance Manager] @ Top International Trading Platform

### 募集職種

人材紹介会社

株式会社SPOTTED

求人ID

1561686

業種

証券

雇用形態

正社員

勤務地

東京都 23区

給与

800万円 ~ 1400万円

更新日

2026年02月04日 08:01

### 応募必要条件

職務経験

6年以上

キャリアレベル

中途経験者レベル

英語レベル

ビジネス会話レベル

日本語レベル

ネイティブ

最終学歴

大学卒：学士号

現在のビザ

日本での就労許可が必要です

### 募集要項

#### Overview

Join a leading global financial services firm with a strong international presence and a long-standing reputation for excellence. The Japan office is looking for a Compliance Manager to play a key role in shaping and strengthening its compliance framework.

The firm operates cutting-edge online trading platforms offering OTC derivatives such as FX and CFDs, and is recognized as a top player in the Japanese market. This is a unique opportunity to work at the intersection of global finance and local regulatory compliance, with the chance to make a tangible impact.

#### Position Summary

As a senior member of the Compliance Department, the Compliance Manager will play a critical role in ensuring the firm's

operations remain compliant with all relevant regulations and internal standards. The position involves direct engagement with regulators, advising the business on compliance matters, and strengthening the overall compliance framework.

### Key Responsibilities

- Serve as a senior member of the Compliance Department and act as deputy to the Head of Compliance when required.
- Liaise with the Financial Services Agency (FSA), other regulatory bodies, and self-regulatory organizations.
- Lead responses to regulatory inspections and audits.
- Monitor, analyze, and implement new or revised regulations to ensure compliance across business operations.
- Continuously maintain and improve the firm's compliance systems and controls.
- Provide compliance-related guidance and advice to other departments.
- Execute risk-based compliance monitoring activities.
- Collaborate with global teams to provide compliance input on new product development and business initiatives.

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### スキル・資格

### Preferred Skills & Experience

- Proven compliance experience in OTC derivatives (FX, CFDs); exposure to cash equities or crypto asset trading is a plus but not essential
- Team management or leadership experience.
- Native-level Japanese and business-level English (capable of managing communications with overseas colleagues).
- Proficiency in Microsoft Excel and Word.

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### 会社説明