



PR/096008 | Senior Associate, Regulatory Compliance

募集職種

人材紹介会社

ジェイ エイ シー リクルートメント シンガポール

求人ID

1559798

業種

銀行・信託銀行・信用金庫

雇用形態

正社員

勤務地

シンガポール

給与

経験考慮の上、応相談

更新日

2025年11月25日 21:00

応募必要条件

キャリアレベル

中途経験者レベル

英語レベル

無し

日本語レベル

無し

最終学歴

短大卒：準学士号

現在のビザ

日本での就労許可は必要ありません

募集要項

COMPANY OVERVIEW

A well-established financial services firm is looking to hire a **Compliance Officer** to support its growing operations in Singapore. This role offers exposure to both Singapore and Hong Kong regulatory environments and is ideal for someone with a strong foundation in compliance and a proactive mindset

JOB RESPONSIBILITIES

You'll be responsible for managing regulatory and reputational risks across multiple business lines, including derivatives and retail trading products. The role involves advising internal teams, updating policies, handling audits, and conducting compliance reviews and surveillance.

Key Responsibilities:

- Provide compliance advisory across trading and investment products
- Conduct reviews, testing, and trade surveillance

- Prepare regulatory submissions and internal compliance reports
- Review marketing materials and internal policies for regulatory alignment
- Advise cross-functional teams (e.g. Business, Finance, HR, Operations, Risk)
- Support audits and respond to regulatory queries
- Ensure ongoing adherence to applicable laws and regulations

JOB REQUIREMENTS

- 1–5 years of experience in regulatory compliance within financial services or a regulatory body
- Familiarity with Singapore's financial regulatory landscape
- Product knowledge in derivatives, FX, commodities, and CFDs

Apply online or feel free to contact me directly at malcolm.pang@jac-recruitment.com for more information about this opportunity.

We regret to inform that only shortlisted candidates will be notified.

Appreciate your understanding. Candidates require visa need not to apply.

EA: JAC Recruitment Pte. Ltd.

EA Licence: 90C3026

EA Personnel: R1546253

EA Personnel Name: Pang Yew Ming Malcolm

#LI-JACSG

#countrysingapore

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会社説明