



## PR/096008 | Senior Associate, Regulatory Compliance

### 募集職種

#### 人材紹介会社

ジェイ エイ シー リクルートメント シンガポール

#### 求人ID

1559798

#### 業種

銀行・信託銀行・信用金庫

#### 雇用形態

正社員

#### 勤務地

シンガポール

#### 給与

経験考慮の上、応相談

#### 更新日

2025年09月30日 10:34

### 応募必要条件

#### キャリアレベル

中途経験者レベル

#### 英語レベル

無し

#### 日本語レベル

無し

#### 最終学歴

短大卒：準学士号

#### 現在のビザ

日本での就労許可は必要ありません

### 募集要項

#### COMPANY OVERVIEW

A well-established financial services firm is looking to hire a **Compliance Officer** to support its growing operations in Singapore. This role offers exposure to both Singapore and Hong Kong regulatory environments and is ideal for someone with a strong foundation in compliance and a proactive mindset

#### JOB RESPONSIBILITIES

You'll be responsible for managing regulatory and reputational risks across multiple business lines, including derivatives and retail trading products. The role involves advising internal teams, updating policies, handling audits, and conducting compliance reviews and surveillance.

#### Key Responsibilities:

- Provide compliance advisory across trading and investment products
- Conduct reviews, testing, and trade surveillance

- Prepare regulatory submissions and internal compliance reports
- Review marketing materials and internal policies for regulatory alignment
- Advise cross-functional teams (e.g. Business, Finance, HR, Operations, Risk)
- Support audits and respond to regulatory queries
- Ensure ongoing adherence to applicable laws and regulations

#### JOB REQUIREMENTS

- 1–5 years of experience in regulatory compliance within financial services or a regulatory body
- Familiarity with Singapore's financial regulatory landscape
- Product knowledge in derivatives, FX, commodities, and CFDs

Apply online or feel free to contact me directly at [malcolm.pang@jac-recruitment.com](mailto:malcolm.pang@jac-recruitment.com) for more information about this opportunity.

We regret to inform that only shortlisted candidates will be notified.

Appreciate your understanding. Candidates require visa need not to apply.

EA: JAC Recruitment Pte. Ltd.

EA Licence: 90C3026

EA Personnel: R1546253

EA Personnel Name: Pang Yew Ming Malcolm

#LI-JACSG

#countrysingapore

**Notice:** By submitting an application for this position, you acknowledge and consent to the disclosure of your personal information to the Privacy Policy and Terms and Conditions, for the purpose of recruitment and candidate evaluation.

Privacy Policy Link: <https://www.jac-recruitment.sg/privacy-policy>

Terms and Conditions Link: <https://www.jac-recruitment.sg/terms-of-use>

---

会社説明