



シンガポールの求人なら
JAC Recruitment Singapore

PR/095634 | Compliance monitoring and testing

募集職種

人材紹介会社

ジェイエイシーリクルートメント シンガポール

求人ID

1554805

業種

銀行・信託銀行・信用金庫

雇用形態

正社員

勤務地

シンガポール

給与

経験考慮の上、応相談

更新日

2025年08月12日 10:41

応募必要条件

職務経験

3年以上

キャリアレベル

中途経験者レベル

英語レベル

ビジネス会話レベル

日本語レベル

ビジネス会話レベル

最終学歴

短大卒：準学士号

現在のビザ

日本での就労許可は必要ありません

募集要項

- Lead recurring and thematic compliance reviews, risk and control assessments and responding to ad-hoc regulator requests
- Cross-border, adherence to country manual requirements (RM travel, account opening, client communication)
- Pre-trade investment suitability (i.e. order taking, solicitation marking, product risk disclosure, K&E mismatches)
- Pricing controls (identify overcharging on transactions vs bank's standard price list/ bilateral agreement with client)
- Perform Quality assurance on: Non-Vulnerable Client assessment on Client investment profile, Performance Review, etc
- Perform reviews on KYC (Eg; onboarding, periodic reviews- IDV, ownership structure, SOW/SOI, AI)
- Handle internal /external audit queries

To be eligible for this role you will require:

- Around 10 years of compliance testing or assurance experience from the private banking/wealth management industry.
- Familiar with recent regulatory changes/developments pertaining to the private banking industry.

We regret to inform that only shortlisted candidates will be notified.

Appreciate your understanding.

EA: JAC Recruitment Pte. Ltd.

EA Licence: 90C3026

EA Personnel: R1110469

EA Personnel Name: Zhong Zhengnan, Paul

#LI-JACSG #countrysingapore

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会社説明