



Morgan
McKinley

【大手外資系証券】内部監査（VP） | IBD + グローバルマーケット

ジュニアメンバーの育成も担い、リーダーシップ経験を積むことができます

Job Information

Recruiter

Morgan McKinley

Job ID

1616224

Industry

Bank, Trust Bank

Job Type

Permanent Full-time

Location

Tokyo - 23 Wards

Salary

Negotiable, based on experience

Refreshed

October 6th, 2026 15:47

General Requirements

Minimum Experience Level

Over 6 years

Career Level

Mid Career

Minimum English Level

Fluent

Minimum Japanese Level

Fluent

Minimum Education Level

Bachelor's Degree

Visa Status

Permission to work in Japan required

Job Description

外資系大手投資銀行にて、投資銀行・グローバルマーケット領域の内部監査をリードするVPポジションです。

また、主要な監査案件をリードするだけでなく、専門的なアドバイザーとして規制対応やリスク管理高度化を支援。

ジュニアメンバーの育成も担い、リーダーシップ経験を積むことができます。

主な職務内容

- ・日本のマーケット及び投資銀行部門の内部監査のリード
- ・リスク管理、内部統制、ガバナンス、コンプライアンスの設計・運用状況の評価
- ・クロスボーダー監査の推進、シニアステークホルダーへの助言（国内外のAudit Leadと連携）
- ・ビジネスプロセスのチェック・分析、潜在的なリスクの指摘
- ・監査結果および実践的な改善策の提言（シニアマネジメントへ）

- ・金融規制やマーケットプラクティスのモニタリング、適切な対応策の提案
- ・重要なリスク・コントロール改善プロジェクトのリード
- ・内部監査チームのジュニアメンバーの指導・育成

Take a senior role in **Internal Audit for Investment Banking and Global Markets** with a prominent international investment bank in Tokyo. As Vice President - Internal Audit, you will lead complex, end-to-end audit assignments across Markets and Investment Banking, assessing risk management, internal controls, governance, and regulatory compliance.

This high-visibility VP position combines **internal audit, market risk, investment banking, regulatory compliance, and risk & control** expertise. You will work closely with senior management and global Audit Leads to identify material risks, strengthen control frameworks, and deliver cross-border audit initiatives.

Beyond leading major audit assignments, you will act as a trusted technical advisor, contribute to regulatory matters, and support the development of junior audit professionals within an international banking environment.

Key Responsibilities

- ・ Lead the planning, execution, and reporting of **end-to-end internal audits** covering Markets and Investment Banking activities.
- ・ Assess the effectiveness of **risk management, internal controls, governance, and compliance frameworks** against internal standards and applicable Japanese regulatory requirements.
- ・ Partner with regional and global Audit Leads to deliver **cross-border audit initiatives** and support senior stakeholders on regulatory matters.
- ・ Analyse complex business processes, identify material risks and control weaknesses, and communicate significant audit findings and practical remediation actions to senior management.
- ・ Monitor evolving financial regulations and market practices, translating regulatory developments into actionable recommendations that strengthen governance and controls.
- ・ Act as a trusted technical advisor on **Markets, Investment Banking, audit methodology, and risk & control frameworks**.
- ・ Lead key risk and control enhancement initiatives while coaching and developing junior members of the internal audit team.

Required Skills

必須条件 経験・資格：

- ・ 投資銀行、グローバルマーケット領域の内部監査をリードした実績
- ・ 内部監査手法、リスク＆コントロールフレームワーク、ガバナンス、金融規制に関する高度な知識
- ・ 大学卒業以上、または同等の学歴・専門的バックグラウンド

ソフトスキル：

- ・ 優れたステークホルダーマネジメント・交渉・影響力
- ・ 批判的思考能力
- ・ 課題解決力、提言力
- ・ リーダーシップ

語学力：

- ・ 日本語：流暢
- ・ 英語：ビジネスレベル

歓迎条件

- ・ マーケットリスク、トレーディング、投資銀行業務、金融規制・コンプライアンスに関する深い専門知識
- ・ リスク・内部統制強化プロジェクトのリード経験
- ・ 内部監査チームにおけるジュニアメンバーの指導、コーチング、育成経験

採用企業について

大手外資系投資銀行。

投資銀行、グローバルマーケット、マーケットリスク、内部監査、金融規制などの専門性をさらに高めながら、クロスボーダー案件に携わることができます。

この求人がおすすめの理由

- ・ 世界的な金融機関です
- ・ 内部監査のVPポジション
- ・ 投資銀行・グローバルマーケットの重要内部監査案件をリードできます
- ・ ジュニアメンバーの育成を担い、リーダーシップ経験を積めます
- ・ リモートワークOK

転職のご相談もお気軽にどうぞ。 Required Skills and Qualifications **Experience:**

- ・ Extensive experience in **Internal Audit within Markets, Global Markets, or Investment Banking** , with a proven track record of leading and delivering complex audit assignments.
- ・ Strong knowledge of Markets and Investment Banking products, activities, processes, and associated risk environments.
- ・ In-depth understanding of **internal audit methodology, risk and control frameworks, governance, and financial**

regulatory requirements.

- Proven experience evaluating the design and effectiveness of controls and identifying material risks across complex banking businesses.
- Experience presenting significant audit observations, risk assessments, and remediation recommendations to senior management.
- Experience collaborating with regional or global stakeholders on cross-border audits, regulatory matters, or risk and control initiatives.
- Bachelor's degree or equivalent university qualification.

Soft Skills:

- Excellent stakeholder management and influencing capabilities, with the ability to establish trusted relationships with senior business leaders, control functions, and global audit stakeholders.
- Advanced critical thinking and problem-solving skills, with the ability to assess complex issues and develop practical, risk-based recommendations.
- Strong leadership capabilities with the ability to independently manage significant audit assignments and guide multidisciplinary stakeholders.
- Excellent written and verbal communication skills, including the ability to communicate complex audit and risk matters clearly to senior management.
- Ability to coach and develop junior professionals while contributing to a collaborative, high-performing audit environment.

Language Requirements:

- **Japanese:** Fluent/business-fluent proficiency required for local stakeholder engagement and regulatory matters.
- **English:** Fluent proficiency with excellent written and verbal communication skills for collaboration with global and regional stakeholders.

Preferred Skills & Qualifications

- VP-level or equivalent experience within **Internal Audit, Markets Audit, Investment Banking Audit, or Financial Services Audit**.
- Deep knowledge of **market risk, trading activities, investment banking controls, and regulatory compliance**.
- Experience leading regional or cross-border audit assignments within an international financial institution.
- Exposure to Japanese financial regulatory requirements and regulatory reviews.
- Experience leading risk and control enhancement projects within complex Markets businesses.
- Demonstrated experience mentoring, coaching, or developing junior audit professionals.

About the Company

Our client is a **prominent international investment bank** with a significant global presence and a strong commitment to effective governance, risk management, and internal controls.

Operating across sophisticated Markets and Investment Banking businesses, the organisation provides an international environment where audit professionals can work closely with senior stakeholders and global teams while gaining exposure to complex financial products, evolving regulatory requirements, and cross-border risk considerations.

The bank places significant importance on maintaining robust **governance, regulatory compliance, risk management, and control frameworks** across its global operations.

Why You'll Love Working Here

- Competitive compensation of **¥14M-¥17M**.
- Take a high-profile **VP Internal Audit role within a global investment bank** in Tokyo.
- Lead significant audit assignments across complex **Markets and Investment Banking** businesses.
- Work directly with senior management, local leadership, and global audit stakeholders on high-impact risk and regulatory matters.
- Gain meaningful exposure to **market risk, regulatory compliance, governance, and cross-border audit initiatives**.
- Play an influential role in strengthening the bank's risk and control environment while developing junior audit talent.
- Benefit from **remote work and work-from-home options** alongside flexible working arrangements.
- Build a long-term international career within a sophisticated global financial institution.

Don't Miss Out - Apply Now!**Company Description**

Morgan McKinleyは国際的な人材コンサルティング会社として、さまざまな業界・分野をリードする採用企業様と、スペシャリストとしてのスキルを有する人材とを結びつけるお手伝いをしています。1988年の創立以来、Morgan McKinleyの名は、「卓越した質のサービス」「市場知識の豊富さ」「No.1企業であり続けようとする強い意志」、そして何よりも「実績」を体現する会社として知られています。

リクルーティング スペシャリストである弊社コンサルタントまでお気軽にお問い合わせください。