

Compliance Officer

Job Information

Recruiter
JAC International Co., Ltd.

Job ID
1612573

Industry
Asset Management

Job Type
Permanent Full-time

Location
Tokyo - 23 Wards

Salary
Negotiable, based on experience

Refreshed
October 28th, 2026 01:00

General Requirements

Minimum Experience Level
Over 3 years

Career Level
Mid Career

Minimum English Level
Business Level

Minimum Japanese Level
Business Level

Minimum Education Level
Bachelor's Degree

Visa Status
Permission to work in Japan required

Job Description

Manage compliance activities for private equity funds and public investment products, ensuring adherence to regulations and internal policies.
Monitor regulatory developments and update compliance procedures to address legal and regulatory changes.
Oversee AML/CFT controls, conflict of interest management, and corporate relationship information processes.
Assess compliance risks related to investment opportunities and provide guidance to investment teams on appropriate mitigation measures.
Review marketing, advertising, and client communications to ensure regulatory compliance and accurate disclosures.
Coordinate with regulators and support regulatory reporting, examinations, and inquiries.
Develop compliance policies, procedures, and operational rules for new business activities, products, and investment initiatives.

Employee Benefits:
Insurance Coverage: Health insurance, employee pension, employment insurance, workers' compensation insurance
Welfare Benefits: Childcare, elderly care, leisure, e-learning and health support services

Required Skills

Experience in compliance, legal, risk, or regulatory work within a financial institution.
Experience in asset management, private equity, investment funds, securities, banking, or a related financial services industry.
Knowledge of financial regulations and compliance requirements.
Strong communication and stakeholder management skills.
Good analytical, problem-solving, and organizational skills with strong attention to detail.
Knowledge of the Financial Instruments and Exchange Act (FIEA) is preferred.
Experience developing policies, procedures, internal controls, or compliance frameworks is a plus.
Ability to work effectively with business teams and provide practical compliance guidance.
Native-level Japanese and intermediate English

Company Description

新たに日本マーケットに参入される外資系企業や、日本でさらなるビジネス拡大をお考えの外資系企業のお客様に、私たちは最適な採用サポートを提供いたします。1975年に英国初の日系人材紹介会社としてロンドンで創業し、その後シンガポール、日本、マレーシア、インドネシア、タイ、中国の7カ国 (また2011 年には香港・韓国にも拠点を新設予定) でグローバル人材紹介会社として事業を拡大してきたジェイ エイ シーは、そのバックグラウンドから、海外文化への深い理解を持ち合わせています。グローバルに事業を展開する日系人材紹介会社のリーディングカンパニーとして、私たちは他に類のない人材ネットワークから、日本人の優秀なバイリンガル人 材や外国人のプロフェッショナル人材をご提供することが可能です。幅広い業種、職種においてのエキスパートである当社のネイティブあるいはバイリンガルコ ンサルタントが皆様のパートナーとなり、適材適所で人材のご紹介をいたします。ジェイ エイ シーが築いてきた特有の歴史、文化、そしてグローバルマーケットでの強みを揺ぎない核として、日本マーケットで躍動する外資系企業のお客様のニーズにさら に的確にお答えするために、ジェイ エイ シー インターナショナルは誕生しました。私たちはアジアやヨーロッパのJAC Recruitment Group海外各社と緊密に連携を取り、お客様の真のニーズを把握した上で、最適なキャンディデイトをご紹介いたします。

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We provide specialized proactive recruitment support tomultinational clients who are setting up new operations or areexpanding existing business and seeking further growth in theJapanese market.As the first Japanese recruitment company to set up operations in theUK in 1975, understanding foreign cultures is second nature to us.With our extensive global network and historically leading position asrecruitment consultancy to Japanese corporations, we have access toan unrivaled pool of bilingual Japanese and foreign professionals.Our professional consultants are experts in a range of Industries andfunctions and all have extensive multicultural experience.Based on JAC’s unique history, culture and market strength, JACInternational was founded to provide dedicated support to ourmultinational corporate clients in Japan.

JAC International Co., Ltd. is a wholly owned subsidiary of JAC Recruitment. Co., Ltd.