



Head of Compliance

Job Information

Recruiter

JAC International Co., Ltd.

Job ID

1612454

Industry

Asset Management

Job Type

Permanent Full-time

Location

Tokyo - 23 Wards

Salary

10 million yen ~ 15 million yen

Refreshed

September 30th, 2026 00:00

General Requirements

Minimum Experience Level

Over 3 years

Career Level

Mid Career

Minimum English Level

Business Level

Minimum Japanese Level

Business Level

Minimum Education Level

Bachelor's Degree

Visa Status

Permission to work in Japan required

Job Description

- Manage the firm's compliance and risk activities, ensuring adherence to regulatory requirements and internal policies.
- Develop and maintain compliance procedures, controls, and staff training programs.
- Oversee sales, marketing, and client-facing activities to ensure appropriate conduct and regulatory compliance.
- Handle regulatory filings, registrations, and relationships with regulators and industry associations.
- Conduct compliance reviews and risk assessments, identifying and addressing potential issues.
- Monitor business, operational, and regulatory risks and implement effective mitigation measures.
- Prepare reports for senior management and coordinate audits, inspections, and regulatory reviews.
- Lead compliance team members and work closely with business functions to strengthen governance and controls.

Employee Benefits:

In accordance with Japanese labour law

Required Skills

- Solid knowledge of the Japanese financial services regulatory environment, gained through experience within licensed investment or securities businesses.
- Familiarity with investment products offered to retail investors, with exposure to pooled investment vehicles or wealth

management solutions considered beneficial.

- Demonstrated experience supporting regulatory compliance within customer-facing financial services operations.
- Proven involvement in regulatory examinations, supervisory reviews, or interactions with regulatory authorities.
- Able to communicate effectively in both Japanese and English within a professional business environment.
- Collaborative approach with the ability to work effectively across different teams and functions.
- Strong understanding of how regulatory and compliance considerations support broader business objectives and commercial growth.

Company Description

新たに日本マーケットに参入される外資系企業や、日本でさらなるビジネス拡大をお考えの外資系企業のお客様に、私たちは最適な採用サポートを提供いたします。1975年に英国初の日系人材紹介会社としてロンドンで創業し、その後シンガポール、日本、マレーシア、インドネシア、タイ、中国の7カ国（また2011年には香港・韓国にも拠点を新設予定）でグローバル人材紹介会社として事業を拡大してきたジェイ エイ シーは、そのバックグラウンドから、海外文化への深い理解を持ち合わせています。グローバルに事業を展開する日系人材紹介会社のリーディングカンパニーとして、私たちは他に類のない人材ネットワークから、日本人の優秀なバイリンガル人材や外国人のプロフェッショナル人材をご提供することが可能です。幅広い業種、職種においてのエキスパートである当社のネイティブあるいはバイリンガルコンサルタントが皆様のパートナーとなり、適材適所で人材のご紹介をいたします。ジェイ エイ シーが築いてきた特有の歴史、文化、そしてグローバルマーケットでの強みを揺ぎない核として、日本マーケットで躍動する外資系企業のお客様のニーズにさらに的確にお答えするために、ジェイ エイ シー インターナショナルは誕生しました。私たちはアジアやヨーロッパのJAC Recruitment Group海外各社と緊密に連携を取り、お客様の真のニーズを把握した上で、最適なキャンディデイトをご紹介します。

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We provide specialized proactive recruitment support to multinational clients who are setting up new operations or are expanding existing business and seeking further growth in the Japanese market. As the first Japanese recruitment company to set up operations in the UK in 1975, understanding foreign cultures is second nature to us. With our extensive global network and historically leading position as recruitment consultancy to Japanese corporations, we have access to an unrivaled pool of bilingual Japanese and foreign professionals. Our professional consultants are experts in a range of industries and functions and all have extensive multicultural experience. Based on JAC's unique history, culture and market strength, JAC International was founded to provide dedicated support to our multinational corporate clients in Japan.

JAC International Co., Ltd. is a wholly owned subsidiary of JAC Recruitment Co., Ltd.