

Compliance Director

Job Information

Recruiter
JAC International Co., Ltd.

Job ID
1612253

Industry
Asset Management

Job Type
Permanent Full-time

Location
Tokyo - 23 Wards

Salary
12 million yen ~ 17 million yen

Refreshed
October 30th, 2026 21:00

General Requirements

Minimum Experience Level
Over 3 years

Career Level
Mid Career

Minimum English Level
Business Level

Minimum Japanese Level
Business Level

Minimum Education Level
Bachelor's Degree

Visa Status
Permission to work in Japan required

Job Description

- Oversee departmental compliance with regulations and internal policies, providing practical compliance guidance.
- Monitor front office investment activities for compliance, interpreting Japanese regulatory requirements and client/fund documentation.
Implement group compliance policies, advising relevant departments and addressing any challenges.
- Review various internal and external compliance reports, including submissions to Group Compliance, the FSA, and industry bodies.
- Support matters related to the Japan FSA, such as onsite inspections, licensing, and regulator inquiries.
- Facilitate escalation of compliance issues, assist with internal reviews, and help resolve control deficiencies.
- Supervise sales and marketing compliance, including Know-Your-Client (KYC) and anti-money laundering (AML) processes.

Employee Benefits:
In accordance with Japanese labour law

Required Skills

- Over 8 years of experience as a compliance officer within an asset management company.

- In-depth knowledge of local laws and regulations governing asset management, including the Financial Instruments and Exchange Act and rules from the Investment Management Association of Japan.
- Background in audit, law, or compliance; qualifications in accounting, finance, or legal fields are preferred.
- Excellent interpersonal and leadership skills, with strong personal judgment and the ability to manage complex stakeholder relationships.
- Proactive with a strong commitment to meeting deadlines.
- Native-level Japanese and business level English

Company Description

新たに日本マーケットに参入される外資系企業や、日本でさらなるビジネス拡大をお考えの外資系企業のお客様に、私たちは最適な採用サポートを提供いたします。1975年に英国初の日系人材紹介会社としてロンドンで創業し、その後シンガポール、日本、マレーシア、インドネシア、タイ、中国の7カ国 (また2011 年には香港・韓国にも拠点を新設予定) でグローバル人材紹介会社として事業を拡大してきたジェイ エイ シーは、そのバックグラウンドから、海外文化への深い理解を持ち合わせています。グローバルに事業を展開する日系人材紹介会社のリーディングカンパニーとして、私たちは他に類のない人材ネットワークから、日本人の優秀なバイリンガル人 材や外国人のプロフェッショナル人材をご提供することが可能です。幅広い業種、職種においてのエキスパートである当社のネイティブあるいはバイリンガルコ ンサルタントが皆様のパートナーとなり、適材適所で人材のご紹介をいたします。ジェイ エイ シーが築いてきた特有の歴史、文化、そしてグローバルマーケットでの強みを揺ぎない核として、日本マーケットで躍動する外資系企業のお客様のニーズにさら に的確にお答えするために、ジェイ エイ シー インターナショナルは誕生しました。私たちはアジアやヨーロッパのJAC Recruitment Group海外各社と緊密に連携を取り、お客様の真のニーズを把握した上で、最適なキャンディデイトをご紹介いたします。

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We provide specialized proactive recruitment support tomultinational clients who are setting up new operations or areexpanding existing business and seeking further growth in theJapanese market.As the first Japanese recruitment company to set up operations in theUK in 1975, understanding foreign cultures is second nature to us.With our extensive global network and historically leading position asrecruitment consultancy to Japanese corporations, we have access toan unrivaled pool of bilingual Japanese and foreign professionals.Our professional consultants are experts in a range of Industries andfunctions and all have extensive multicultural experience.Based on JAC’s unique history, culture and market strength, JACInternational was founded to provide dedicated support to ourmultinational corporate clients in Japan.

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