【英語を活かす】業務リスク管理担当VP/ VP Conduct & Ops Risk

資産運用会社にて、業務リスク管理担当VPの求人がございます。

Job Information

Recruiter
Robert Walters Japan (ロバート・ウォルターズ)

Hiring Company
資産運用会社

Job ID
1609048

Industry
Asset Management

Job Type
Permanent Full-time

Location
Tokyo - 23 Wards

Salary
15 million yen ~ 20 million yen

Holidays
完全週休2日制, 土日祝日休み, 有給休暇

Refreshed
October 30th, 2026 03:00

General Requirements

Career Level
Executive

Minimum English Level
Business Level

Minimum Japanese Level
Business Level

Minimum Education Level
Bachelor's Degree

Visa Status
Permission to work in Japan required

Job Description

A multinational asset management company is looking for a Vice President, Japan Asset Management Compliance, Conduct & Operational Risk. The selected candidate will oversee compliance, conduct risk, operational risk, regulatory engagement, licensing matters, and governance initiatives while supporting a strong culture of accountability and regulatory excellence.

The company is a global leader in asset management and financial services. It operates in highly regulated markets and is committed to strong governance, risk management, and compliance standards.

Keywords:

資産運用, コンプライアンス, オペレーショナルリスク, コンダクトリスク, 金融規制, リスク管理, ガバナンス, 内部統制, 監査対応, 規制対応, 求人, 外資系

Job Ref: 7P3M25

Responsibilities:

- Coordinate regulatory examinations, surveys, inquiries, and other regulatory engagements
- Provide guidance on licensing and registrations with regulatory and industry bodies

- Advise on privacy, local firewall, and employee compliance matters
- Assess operational risks and control environments through the operational risk management framework
- Support legal entity risk assessments and review control effectiveness and emerging risks
- Review escalations, control issues, remediation measures, and root cause analyses
- Facilitate compliance and conduct risk training programmes
- Partner with stakeholders to strengthen governance, controls, and continuous improvement initiatives

Requirements:

- Bachelor's degree or above in Law, Business, Finance, or a related field
- More than 10 years of experience in Compliance, Conduct Risk, Operational Risk, Audit, or Business Control Functions within an asset management company, bank, or securities firm
- Experience managing regulatory examinations and engagements
- Extensive knowledge of financial regulations and self-regulatory organisation rules relevant to asset management businesses and products
- Proven ability to partner with business leaders and promote compliance and conduct risk awareness
- Possess a valid Japan Securities Dealers Association Internal Administrator Qualification (Naibu Kanri Sekinis-sha)
- Proficient in Japanese and English

Preferred requirements:

- Ability to utilise technology tools such as large language models, Alteryx, or Python
- Experience delivering compliance and conduct risk training

Company Description

We've been a driving force in the Japanese bilingual recruitment market, providing high quality candidates for our clients and access to the best jobs for over 20 years. We operate a team-based profit share system which, we believe, sets us apart from the majority of competitors by enabling us to always put the interests of our clients and candidates first. That means we can find the best fit for employer and job seeker, and we never push people into unsuitable roles.