



Understanding People

Senior Compliance Officer シニアコンプライアンスオフィサー

¥20M base salary, Global investment firm

Job Information

Recruiter

Specialized Group

Job ID

1592969

Industry

Asset Management

Job Type

Permanent Full-time

Location

Tokyo - 23 Wards

Salary

Negotiable, based on experience

Refreshed

May 20th, 2026 19:33

General Requirements

Minimum Experience Level

Over 3 years

Career Level

Mid Career

Minimum English Level

Business Level

Minimum Japanese Level

Business Level

Minimum Education Level

Bachelor's Degree

Visa Status

Permission to work in Japan required

Job Description

Senior Compliance Officer シニアコンプライアンスオフィサー - Asset Management (20M Base, Flextime, Remote)

Our client, a global investment firm managing over \$30 billion in assets, is seeking a Senior Compliance Officer in Tokyo, Japan, with a path to becoming the Head of Compliance. This role offers the opportunity to be part of a global compliance team, contributing to significant projects and gaining leadership experience. Benefits include a base salary of up to 20M JPY, performance bonuses, RSUs, flexible work arrangements, and the potential for career advancement to Chief Compliance Officer.

Key Responsibilities:

- Interpret Japanese financial regulations for compliance
- Communicate with Japanese authorities and self-regulatory bodies
- Review and update compliance manuals and policies
- Monitor portfolio compliance for Japanese stocks

- Conduct compliance training for staff
- Advise on compliance for Japanese investment products
- File substantial shareholding reports for Japanese stocks
- Collaborate with global compliance and legal teams

Qualifications:

- Native Japanese language proficiency
 - 3+ years in Compliance, Legal, Risk Management, or Internal Audit
 - Experience in asset management compliance is preferred but not mandatory
 - Bachelor's degree in Law, Finance, or Accounting is preferred but not mandatory
 - Fluent in English
 - Familiarity with Japanese financial regulations (e.g., KLFB, FSA)
 - Experience with compliance training and policy review
 - Strong analytical skills for monitoring portfolio compliance
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Company Description