【英語を活かす】法務・コンプライアンスマネージャー/ Legal and Compliance Manager

金融サービス会社にて、法務・コンプライアンスマネージャーの求人がございます。

Job Information

Recruiter
Robert Walters Japan (ロバート・ウォルターズ)

Hiring Company
金融サービス会社

Job ID
1572087

Industry
Investment Banking

Job Type
Permanent Full-time

Location
Tokyo - 23 Wards

Salary
13 million yen ~ 15 million yen

Work Hours
お問い合わせください

Holidays
完全週休2日制, 土日祝日休み, 有給休暇

Refreshed
November 13th, 2026 10:00

General Requirements

Career Level
Mid Career

Minimum English Level
Business Level

Minimum Japanese Level
Native Level

Minimum Education Level
Bachelor's Degree

Visa Status
Permission to work in Japan required

Job Description

A global financial services company is looking for a Legal and Compliance Manager/Associate. The selected candidate will oversee regulatory compliance, internal controls, and operational compliance activities while supporting audits, training, and cross-departmental guidance.

A financial services company offering a range of investment and trading solutions to clients. With a focus on providing innovative and user-friendly platforms, the company empowers investors to make informed decisions in the dynamic world of finance.

Keywords:

法律,法務,コンプライアンス, 法律, 求人, 外資系

Job Ref: 9R6TRN

Responsibilities:

- Serve as primary liaison for regulatory authorities and coordinate responses to inquiries
- Support audits, inspections, and customer complaint handling processes
- Establish, review, and monitor internal compliance policies and procedures
- Conduct KYC, AML/CFT checks, and transaction monitoring for regulatory compliance
- Review and manage contracts, marketing materials, and departmental guidance
- Design and deliver internal compliance training and awareness programs

Requirements:

- Bachelor's degree or above
- More than 2 years of experience in compliance, legal, and financial institutions
- Proficient in MS Office Suite
- Native level Japanese; business level English

Preferred requirements:

- Experience in retail securities business operations or compliance
- Prior experience with regulatory reporting and examination processes
- Understanding of rules and regulations governing the retail securities business in Japan

Company Description

We've been a driving force in the Japanese bilingual recruitment market, providing high quality candidates for our clients and access to the best jobs for over 20 years. We operate a team-based profit share system which, we believe, sets us apart from the majority of competitors by enabling us to always put the interests of our clients and candidates first. That means we can find the best fit for employer and job seeker, and we never push people into unsuitable roles.