



【Global Financial Trading】 Compliance Manager

WFH, Flex time, Bilingual, International

Job Information

Hiring Company

AP Capital

Job ID

1565729

Industry

Other (Banking and Financial Services)

Job Type

Permanent Full-time

Location

Tokyo - 23 Wards

Salary

Negotiable, based on experience

Refreshed

April 9th, 2026 03:00

General Requirements

Minimum Experience Level

Over 3 years

Career Level

Mid Career

Minimum English Level

Business Level

Minimum Japanese Level

Native

Minimum Education Level

Bachelor's Degree

Visa Status

Permission to work in Japan required

Job Description

Key Responsibilities:

- Develop and maintain compliance policies and procedures for the company's financial trading operations.
- Monitor and assess the company's compliance with applicable regulations and industry standards and report to senior management.
- Oversee regulatory license applications, filings, inspections, and audits, ensuring timely and accurate submissions.
- Stay current with changes in regulatory requirements and industry best practices and advise senior management on compliance-related risks and opportunities.
- Conduct compliance training and awareness programs for employees and partners.
- Build and maintain effective relationships with Japanese regulators, exchanges, banks, and industry associations.
- Investigate, assess, and address compliance-related incidents, issues, and inquiries promptly and effectively.

Required Skills

Qualifications:

- Bachelor's degree in business, law, finance or a related field.
- Minimum of 5 years of relevant experience in compliance management, with a focus on either from Japan commercial banking industry or securities industry.
- Strong knowledge of Japanese Securities / Asset Management/ Foreign Exchange Laws & Digital Assets regulations
- Experience with regulatory license applications, filings, inspections and audits of Japanese FSA, etc. is a plus
- Ability to work independently, with a startup / entrepreneurial mindset who can build from scratch.
- Native-level in Japanese, business-level in English

Company Description