



## 【Global Financial Trading】 Compliance Manager

**WFH, Flex time, Bilingual, International**

### Job Information

#### Hiring Company

AP Capital

#### Job ID

1565729

#### Industry

Other (Banking and Financial Services)

#### Job Type

Permanent Full-time

#### Location

Tokyo - 23 Wards

#### Salary

Negotiable, based on experience

#### Refreshed

February 12th, 2026 02:00

### General Requirements

#### Minimum Experience Level

Over 3 years

#### Career Level

Mid Career

#### Minimum English Level

Business Level

#### Minimum Japanese Level

Native

#### Minimum Education Level

Bachelor's Degree

#### Visa Status

Permission to work in Japan required

### Job Description

#### Key Responsibilities:

- Develop and maintain compliance policies and procedures for the company's financial trading operations.
- Monitor and assess the company's compliance with applicable regulations and industry standards and report to senior management.
- Oversee regulatory license applications, filings, inspections, and audits, ensuring timely and accurate submissions.
- Stay current with changes in regulatory requirements and industry best practices and advise senior management on compliance-related risks and opportunities.
- Conduct compliance training and awareness programs for employees and partners.
- Build and maintain effective relationships with Japanese regulators, exchanges, banks, and industry associations.
- Investigate, assess, and address compliance-related incidents, issues, and inquiries promptly and effectively.

### Required Skills

Qualifications:

- Bachelor's degree in business, law, finance or a related field.
- Minimum of 5 years of relevant experience in compliance management, with a focus on either from Japan commercial banking industry or securities industry.
- Strong knowledge of Japanese Securities / Asset Management/ Foreign Exchange Laws & Digital Assets regulations
- Experience with regulatory license applications, filings, inspections and audits of Japanese FSA, etc. is a plus
- Ability to work independently, with a startup / entrepreneurial mindset who can build from scratch.
- Native-level in Japanese, business-level in English

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Company Description