



## 【Global Financial Trading】 Compliance Manager

WFH, Flex time, Bilingual, International

### Job Information

**Hiring Company**

AP Capital

**Job ID**

1565729

**Industry**

Other (Banking and Financial Services)

**Job Type**

Permanent Full-time

**Location**

Tokyo - 23 Wards

**Salary**

Negotiable, based on experience

**Refreshed**

February 12th, 2026 02:00

### General Requirements

**Minimum Experience Level**

Over 3 years

**Career Level**

Mid Career

**Minimum English Level**

Business Level

**Minimum Japanese Level**

Native

**Minimum Education Level**

Bachelor's Degree

**Visa Status**

Permission to work in Japan required

### Job Description

#### Key Responsibilities:

- Develop and maintain compliance policies and procedures for the company's financial trading operations.
- Monitor and assess the company's compliance with applicable regulations and industry standards and report to senior management.
- Oversee regulatory license applications, filings, inspections, and audits, ensuring timely and accurate submissions.
- Stay current with changes in regulatory requirements and industry best practices and advise senior management on compliance-related risks and opportunities.
- Conduct compliance training and awareness programs for employees and partners.
- Build and maintain effective relationships with Japanese regulators, exchanges, banks, and industry associations.
- Investigate, assess, and address compliance-related incidents, issues, and inquiries promptly and effectively.

### Required Skills

Qualifications:

- Bachelor's degree in business, law, finance or a related field.
- Minimum of 5 years of relevant experience in compliance management, with a focus on either from Japan commercial banking industry or securities industry.
- Strong knowledge of Japanese Securities / Asset Management/ Foreign Exchange Laws & Digital Assets regulations
- Experience with regulatory license applications, filings, inspections and audits of Japanese FSA, etc. is a plus
- Ability to work independently, with a startup / entrepreneurial mindset who can build from scratch.
- Native-level in Japanese, business-level in English

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Company Description