



## [Compliance Manager] @ Top International Trading Platform

### Job Information

#### Recruiter

SPOTTED K.K.

#### Job ID

1561686

#### Industry

Securities

#### Job Type

Permanent Full-time

#### Location

Tokyo - 23 Wards

#### Salary

8 million yen ~ 14 million yen

#### Refreshed

January 21st, 2026 01:00

### General Requirements

#### Minimum Experience Level

Over 6 years

#### Career Level

Mid Career

#### Minimum English Level

Business Level

#### Minimum Japanese Level

Native

#### Minimum Education Level

Bachelor's Degree

#### Visa Status

Permission to work in Japan required

### Job Description

#### Overview

Join a leading global financial services firm with a strong international presence and a long-standing reputation for excellence. The Japan office is looking for a Compliance Manager to play a key role in shaping and strengthening its compliance framework.

The firm operates cutting-edge online trading platforms offering OTC derivatives such as FX and CFDs, and is recognized as a top player in the Japanese market. This is a unique opportunity to work at the intersection of global finance and local regulatory compliance, with the chance to make a tangible impact.

#### Position Summary

As a senior member of the Compliance Department, the Compliance Manager will play a critical role in ensuring the firm's

operations remain compliant with all relevant regulations and internal standards. The position involves direct engagement with regulators, advising the business on compliance matters, and strengthening the overall compliance framework.

### **Key Responsibilities**

- Serve as a senior member of the Compliance Department and act as deputy to the Head of Compliance when required.
- Liaise with the Financial Services Agency (FSA), other regulatory bodies, and self-regulatory organizations.
- Lead responses to regulatory inspections and audits.
- Monitor, analyze, and implement new or revised regulations to ensure compliance across business operations.
- Continuously maintain and improve the firm's compliance systems and controls.
- Provide compliance-related guidance and advice to other departments.
- Execute risk-based compliance monitoring activities.
- Collaborate with global teams to provide compliance input on new product development and business initiatives.

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### **Required Skills**

### **Preferred Skills & Experience**

- Proven compliance experience in OTC derivatives (FX, CFDs); exposure to cash equities or crypto asset trading is a plus but not essential
- Team management or leadership experience.
- Native-level Japanese and business-level English (capable of managing communications with overseas colleagues).
- Proficiency in Microsoft Excel and Word.

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### **Company Description**