



PR/096008 | Senior Associate, Regulatory Compliance

Job Information

Recruiter

JAC Recruitment Singapore

Job ID

1559798

Industry

Bank, Trust Bank

Job Type

Permanent Full-time

Location

Singapore

Salary

Negotiable, based on experience

Refreshed

September 30th, 2025 10:34

General Requirements

Career Level

Mid Career

Minimum English Level

None

Minimum Japanese Level

None

Minimum Education Level

Associate Degree/Diploma

Visa Status

No permission to work in Japan required

Job Description

COMPANY OVERVIEW

A well-established financial services firm is looking to hire a **Compliance Officer** to support its growing operations in Singapore. This role offers exposure to both Singapore and Hong Kong regulatory environments and is ideal for someone with a strong foundation in compliance and a proactive mindset

JOB RESPONSIBILITIES

You'll be responsible for managing regulatory and reputational risks across multiple business lines, including derivatives and retail trading products. The role involves advising internal teams, updating policies, handling audits, and conducting compliance reviews and surveillance.

Key Responsibilities:

- Provide compliance advisory across trading and investment products
- Conduct reviews, testing, and trade surveillance

- Prepare regulatory submissions and internal compliance reports
- Review marketing materials and internal policies for regulatory alignment
- Advise cross-functional teams (e.g. Business, Finance, HR, Operations, Risk)
- Support audits and respond to regulatory queries
- Ensure ongoing adherence to applicable laws and regulations

JOB REQUIREMENTS

- 1–5 years of experience in regulatory compliance within financial services or a regulatory body
- Familiarity with Singapore's financial regulatory landscape

- Product knowledge in derivatives, FX, commodities, and CFDs

Apply online or feel free to contact me directly at malcolm.pang@jac-recruitment.com for more information about this opportunity.

We regret to inform that only shortlisted candidates will be notified.

Appreciate your understanding. Candidates require visa need not to apply.

EA: JAC Recruitment Pte. Ltd.

EA Licence: 90C3026

EA Personnel: R1546253

EA Personnel Name: Pang Yew Ming Malcolm

#LI-JACSG

#countrysingapore

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Company Description