【英語を活かす】上級規制コンプライアンス担当官/ Sr. Regulatory Compliance Officer

金融サービス会社にて、上級規制コンプライアンス担当官の求人がございます。

Job Information

Recruiter

Robert Walters Japan (ロバート・ウォルターズ)

Hiring Company

金融サービス会社

Job ID

1558402

Industry

Securities

Job Type

Permanent Full-time

Location

Tokyo - 23 Wards

Salary

Negotiable, based on experience

Refreshed

October 30th, 2025 19:00

General Requirements

Career Level

Mid Career

Minimum English Level

Business Level

Minimum Japanese Level

Business Level

Minimum Education Level

Bachelor's Degree

Visa Status

Permission to work in Japan required

Job Description

A multinational financial services company is seeking a Senior Regulatory Compliance Officer. The selected candidate will lead compliance operations, regulatory engagement, and license management to ensure adherence to Japanese financial regulations. This is a remote setup role.

A leading financial services provider in Asia, this company is recognised for its strong expertise in investment banking, asset management, and wealth solutions. With a solid global network and a focus on innovation, it offers professionals the opportunity to grow their careers in a dynamic and forward-looking environment.

Keywords:

金融サービス, コンプライアンス, 規制業務, 金融規制, ライセンス管理, マネーロンダリング防止, リスク管理, 監査サポート, 法務, 求人, 外資系

Job Ref: NFGOGK

Responsibilities:

- · Interface with regulators for license applications, new business initiatives, inspections, and inquiries
- · Provide guidance to management on regulatory and compliance issues
- Support client onboarding processes and address Anti-Money Laundering (AML) concerns
- Develop and execute annual compliance review programs based on risk assessments

- Build relationships with regulators and external legal firms while monitoring regulatory changes
- · Collaborate with overseas offices to prepare compliance reports and share regulatory updates
- Maintain compliance records, support audits and inspections, and oversee training programs
- Formulate, implement, and revise compliance policies and procedures

Requirements:

- Bachelor's degree or above
- More than 10 years of work experience
- More than 5 years in Compliance, Legal, Audit, or regulatory roles within licensed securities companies or regulators
- Prior experience in regulator engagement and team leadership
- Familiarity with Japanese securities regulations and market practices
- Proficient in project management skills
- Possess a valid authorisation to work in Japan
- Native level Japanese; business level English

Company Description

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