

## 【英語を活かす】上級規制コンプライアンス担当官/ Sr. Regulatory Compliance Officer

金融サービス会社にて、上級規制コンプライアンス担当官の求人がございます。

### Job Information

**Recruiter**

Robert Walters Japan (ロバート・ウォルターズ)

**Hiring Company**

金融サービス会社

**Job ID**

1558402

**Industry**

Securities

**Job Type**

Permanent Full-time

**Location**

Tokyo - 23 Wards

**Salary**

Negotiable, based on experience

**Refreshed**

October 2nd, 2025 17:00

### General Requirements

**Career Level**

Mid Career

**Minimum English Level**

Business Level

**Minimum Japanese Level**

Business Level

**Minimum Education Level**

Bachelor's Degree

**Visa Status**

Permission to work in Japan required

### Job Description

A multinational financial services company is seeking a Senior Regulatory Compliance Officer. The selected candidate will lead compliance operations, regulatory engagement, and license management to ensure adherence to Japanese financial regulations. This is a remote setup role.

A leading financial services provider in Asia, this company is recognised for its strong expertise in investment banking, asset management, and wealth solutions. With a solid global network and a focus on innovation, it offers professionals the opportunity to grow their careers in a dynamic and forward-looking environment.

**Keywords:**

金融サービス, コンプライアンス, 規制業務, 金融規制, ライセンス管理, マネーロンダリング防止, リスク管理, 監査サポート, 法務, 求人, 外資系

Job Ref: NFGOGK

**Responsibilities:**

- Interface with regulators for license applications, new business initiatives, inspections, and inquiries
- Provide guidance to management on regulatory and compliance issues
- Support client onboarding processes and address Anti-Money Laundering (AML) concerns
- Develop and execute annual compliance review programs based on risk assessments

- Build relationships with regulators and external legal firms while monitoring regulatory changes
- Collaborate with overseas offices to prepare compliance reports and share regulatory updates
- Maintain compliance records, support audits and inspections, and oversee training programs
- Formulate, implement, and revise compliance policies and procedures

**Requirements:**

- Bachelor's degree or above
- More than 10 years of work experience
- More than 5 years in Compliance, Legal, Audit, or regulatory roles within licensed securities companies or regulators
- Prior experience in regulator engagement and team leadership
- Familiarity with Japanese securities regulations and market practices
- Proficient in project management skills
- Possess a valid authorisation to work in Japan
- Native level Japanese; business level English

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**Company Description**

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