

「プロだからわかる、あなたのスキルが活躍の場所」  
60以上の業界・職種に特化した専門チームがサポート

Robert—  
Walters

【英語を活かす】リーガル・コンプライアンスオフィサー/ Legal & Compliance Officer

投資顧問会社にて、リーガル・コンプライアンスオフィサーの求人がございます。

## Job Information

**Recruiter**

Robert Walters Japan (ロバート・ウォルターズ)

**Hiring Company**

投資顧問会社

**Job ID**

1539540

**Industry**

Investment Banking

**Job Type**

Permanent Full-time

**Location**

Tokyo - 23 Wards

**Salary**

7 million yen ~ 9 million yen

**Work Hours**

お問い合わせください

**Holidays**

完全週休2日制, 土日祝日休み, 有給休暇

**Refreshed**

June 12th, 2025 04:00

## General Requirements

**Career Level**

Mid Career

**Minimum English Level**

Business Level

**Minimum Japanese Level**

Business Level

**Minimum Education Level**

Bachelor's Degree

**Visa Status**

Permission to work in Japan required

## Job Description

A global investment management firm is seeking a Legal & Compliance Officer. The selected candidate will support compliance, investment structuring, and legal oversight across global offices.

A leading global investment firm, the company provides innovative advisory and research services across alternative investments, helping institutional clients make informed decisions. With a strong presence in Japan, it leverages deep market expertise to deliver tailored investment solutions.

**Keywords:**

コンプライアンス, 投資運用, 証券規制, 私募ファンド, 金融商品取引法, 法務, 規制監視, 求人, 外資系

Job Ref: 27G6DH

**Responsibilities:**

- Collaborate with investment and operations teams to address compliance and investment-related matters
- Assist with compliance monitoring and oversight for registered investment companies
- Track regulatory updates and recommend policy or procedural changes
- Negotiate transactional agreements, including assignments, participations, and limited partnerships
- Prepare and negotiate side letters on behalf of clients
- Support legal structuring and oversight of SMAs, including offering documents and service provider agreements

**Requirements:**

- More than 3 years as a practicing attorney or paralegal in investment management/private funds
- Experience in private markets fund formation
- Working knowledge of Japan's Financial Instruments and Exchange Act and relevant securities regulations
- Professional level Japanese and English

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**Company Description**

We've been a driving force in the Japanese bilingual recruitment market, providing high quality candidates for our clients and access to the best jobs for over 20 years. We operate a team-based profit share system which, we believe, sets us apart from the majority of competitors by enabling us to always put the interests of our clients and candidates first. That means we can find the best fit for employer and job seeker, and we never push people into unsuitable roles.