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Robert—
Walters

【英語を活かす】リーガル・コンプライアンスオフィサー/ Legal & Compliance Officer

外資系投資会社にて、リーガル・コンプライアンスオフィサーの求人がございます。

Job Information

Recruiter

Robert Walters Japan (ロバート・ウォルターズ)

Job ID

1539430

Industry

Investment Banking

Job Type

Permanent Full-time

Location

Tokyo - 23 Wards

Salary

7 million yen ~ 9 million yen

Work Hours

お問い合わせください

Refreshed

May 28th, 2025 00:00

General Requirements

Career Level

Mid Career

Minimum English Level

Business Level

Minimum Japanese Level

Business Level

Minimum Education Level

Bachelor's Degree

Visa Status

Permission to work in Japan required

Job Description

A global investment management firm is seeking a Legal & Compliance Officer. The selected candidate will support compliance, investment structuring, and legal oversight across global offices.

A leading global investment firm, the company provides innovative advisory and research services across alternative investments, helping institutional clients make informed decisions. With a strong presence in Japan, it leverages deep market expertise to deliver tailored investment solutions.

Keywords:

コンプライアンス, 投資運用, 証券規制, 私募ファンド, 金融商品取引法, 法務, 規制監視, 求人, 外資系

Job Ref: 27G6DH

Responsibilities:

- Collaborate with investment and operations teams to address compliance and investment-related matters
- Assist with compliance monitoring and oversight for registered investment companies
- Track regulatory updates and recommend policy or procedural changes
- Negotiate transactional agreements, including assignments, participations, and limited partnerships
- Prepare and negotiate side letters on behalf of clients
- Support legal structuring and oversight of SMAs, including offering documents and service provider agreements

Requirements:

- More than 3 years as a practicing attorney or paralegal in investment management/private funds
- Experience in private markets fund formation
- Working knowledge of Japan's Financial Instruments and Exchange Act and relevant securities regulations
- Professional level Japanese and English

Company Description

We've been a driving force in the Japanese bilingual recruitment market, providing high quality candidates for our clients and access to the best jobs for over 20 years. We operate a team-based profit share system which, we believe, sets us apart from the majority of competitors by enabling us to always put the interests of our clients and candidates first. That means we can find the best fit for employer and job seeker, and we never push people into unsuitable roles.